

**FACTS****WHAT DOES NIA IMPACT CAPITAL DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- social security number and income
- assets and account transactions
- investment experience, risk tolerance and account balances

When you are *no longer* our client, we continue to share your information as described in this notice.

**How?**

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Nia Impact Capital chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information   | Does Nia Impact Advisors, LLC share? | Can you limit this sharing? |
|--|--------------------------------------|-----------------------------|
| <b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                                  | No                          |
| <b>For our marketing purposes—</b> to offer our products and services to you   | Yes                                  | No                          |
| <b>For joint marketing with other financial companies</b>  | No                                   | We don't share              |
| <b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences   | No                                   | We don't share              |
| <b>For our affiliates' everyday business purposes—</b> information about your creditworthiness   | No                                   | We don't share              |
| <b>For nonaffiliates to market to you</b>  | No                                   | We don't share              |

**Questions?**

Call 510 319 9221, email [info@niaimpactcapital.com](mailto:info@niaimpactcapital.com), or visit [www.niaimpactcapital.com](http://www.niaimpactcapital.com).

| Who we are  |   |
|---|---|
| Who is providing this notice?   | Nia Impact Advisors, LLC, d.b.a. Nia Impact Capital   |
| What we do  |   |
| How does Nia Impact Capital protect my personal information?  | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include network and computer safeguards, as well as secured files and buildings.   |
| How does Nia Impact Capital collect my personal information?  | We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>■ open an account or give us your income information</li> <li>■ seek financial or tax advice or enter into an investment advisory contract</li> <li>■ tell us about your investment or retirement portfolio</li> </ul>   |
| <ul style="list-style-type: none"> <li>■ Why can't I limit all sharing?</li> </ul>  | <p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>■ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p> |
| Definitions   |   |
| <b>Affiliates</b>   | Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>■ Nia Impact Capital, has no affiliates</li> </ul>   |
| <b>Nonaffiliates</b>  | Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>■ Nia Impact Capital, does not share with nonaffiliates so they can market to you</li> </ul>   |
| <b>Joint marketing</b>  | A formal agreement between non affiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> <li>■ Nia Impact Capital, doesn't jointly market</li> </ul>  |
| Other important information   |   |
| <p>Your privacy is important to us. Nia Impact Capital, is committed to handling your information with care. If you have any questions about this privacy notice or you would like to discuss how we protect your information, please contact us at 510-601-5575 or visit <a href="http://www.niaimpactcapital.com">http://www.niaimpactcapital.com</a>.</p> <p>Under California law, including the California Consumer Privacy Act of 2018, a California resident with whom we have an established relationship has the right to request certain information with respect to any personal information Nia Impact Capital may have shared with third parties for their direct marketing purposes, and the identities of those third parties, within the immediately preceding calendar year, subject to certain exceptions. In response to a written request, we are allowed to provide a cost-free means to opt out of such sharing. Since Nia Impact Capital does not provide your personal information to third parties for their direct marketing purposes, it is not necessary for us to establish this procedure at this time. Please contact us at 510-601-5575 or visit <a href="http://www.niaimpactcapital.com">http://www.niaimpactcapital.com</a> if you have questions about your rights.</p> <p>Information for North Dakota, Vermont and Nevada Customers:</p> <p>In response to applicable state law, if the mailing address provided for your account is in North Dakota or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third</p> |   |

parties for purposes of them marketing to you, except as permitted by the applicable state law. Nevada residents may request to be placed on Nia Impact Advisors' "do not call" list at any time by calling 510-319-9221.

Nevada law requires that we provide you with the following contact information for the Bureau of Consumer Protection: Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; Telephone: 702-486-3132; Email: BCPINFO@ag.state.nv.us.

To learn about additional privacy right protections by Nia Impact Capital, please contact us by calling 510-319-9221 or emailing [info@niaimpactcapital.com](mailto:info@niaimpactcapital.com).